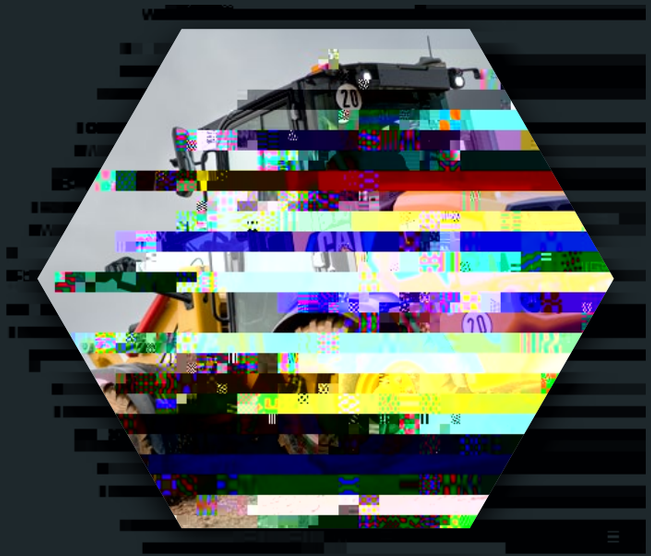
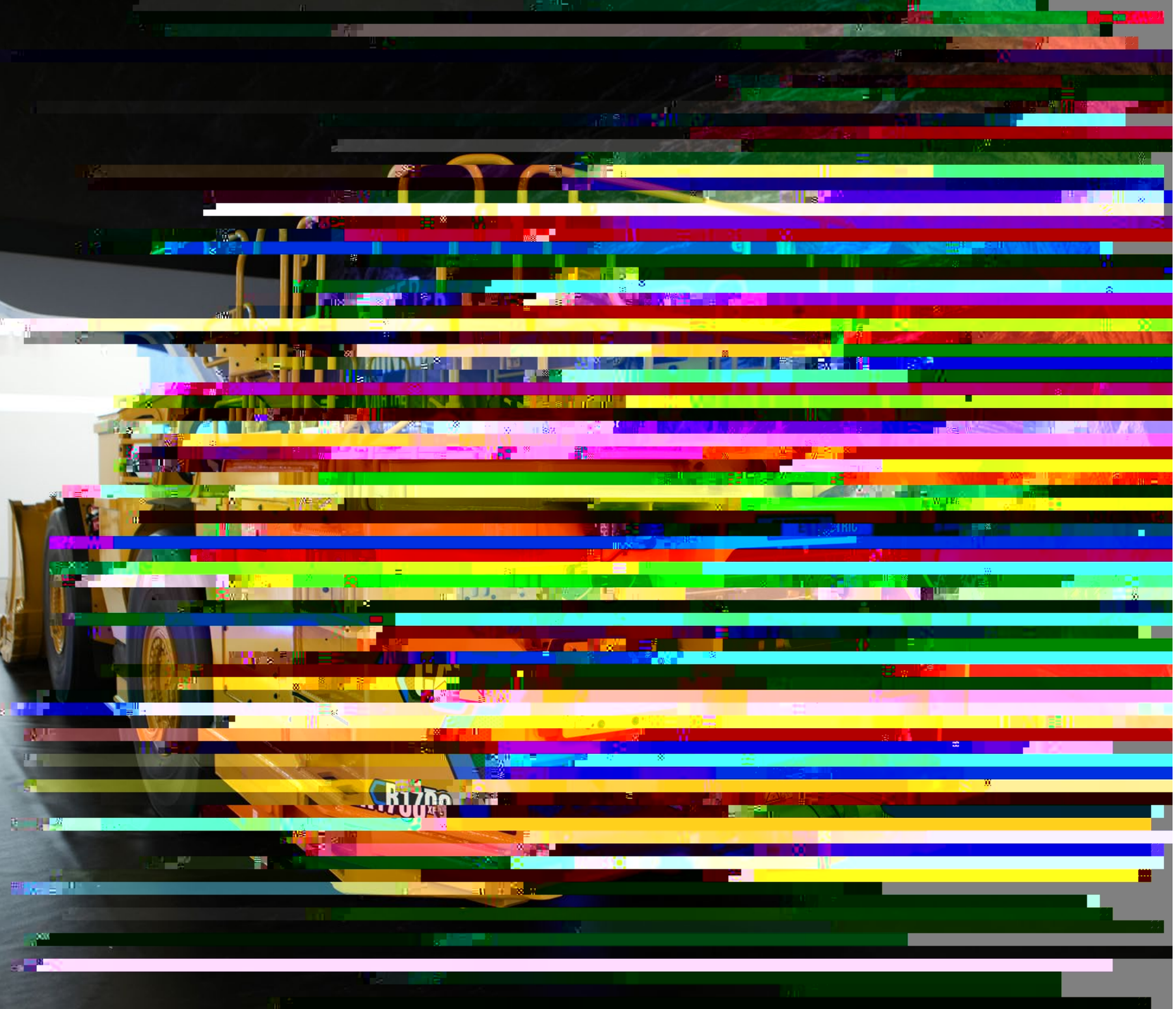




SUPPORTING OUR CUSTOMERS IN THE ENERGY TRANSITION

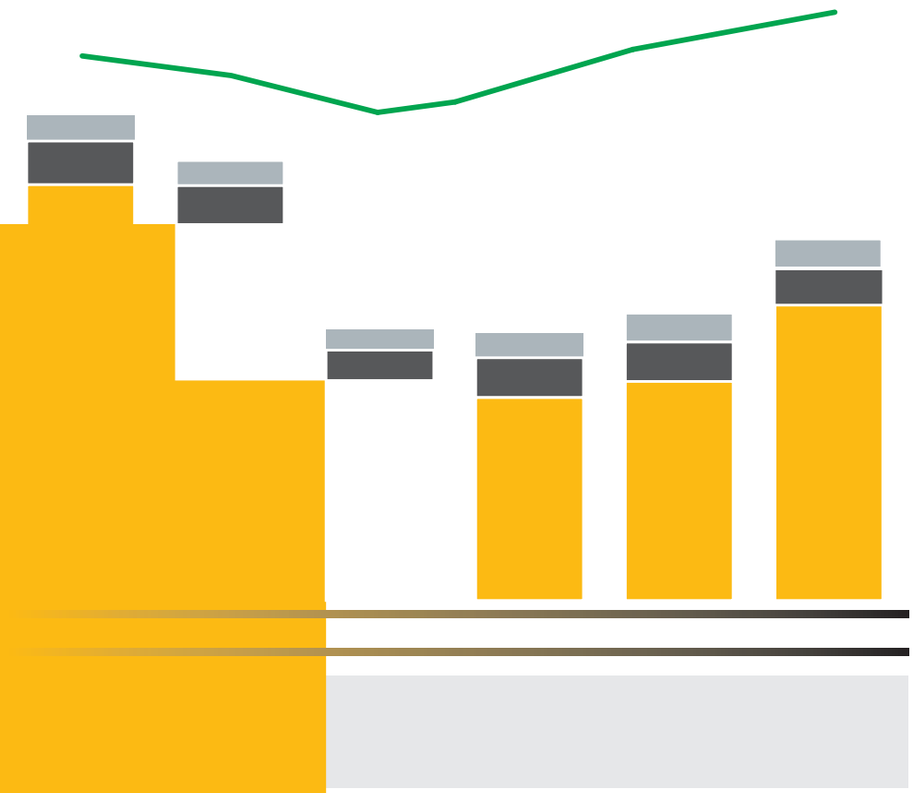
HOW SERVICES AND SUSTAINABILITY GO TOGETHER AT CATERPILLAR

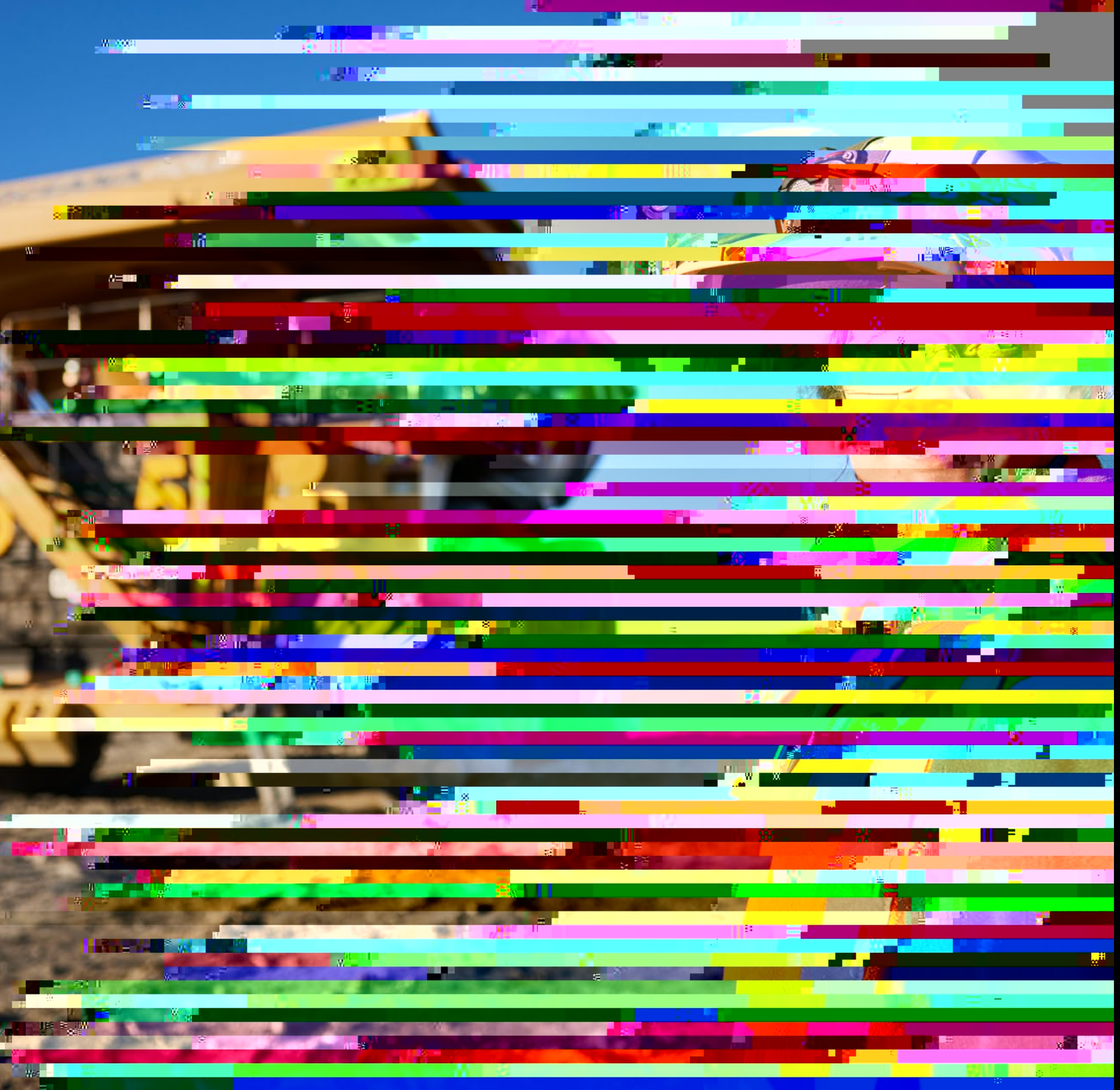
Understanding Our Scope 3 Emissions

2023 marks our second year disclosing Scope 3 use of sold products emissions. Our Scope 3 emissions are estimated to be more than 95% of the company's total Scope 1, 2 and 3 GHG emissions inventory. Given the company's large, global customer base covering a divedse set of industries, including construction and mining equipment, off-highway diesel and natural gas engines, industrial gas turbines, diesel-electric locomotives and others, Category 11 — use of sold products — is our largest Scope 3 category. The remaining 14 categories of estimated Scope 3 emissions are either not relevant to our business or are immaterial, representing collectively less than 5% of total enterprise GHG emissions.

The six-year trend of Caterpillar's estimated Scope 3 use of sold products emissions, which

SCOPE 3 USE OF SOLD PRODUCTS GHG EMISSIONS[1]



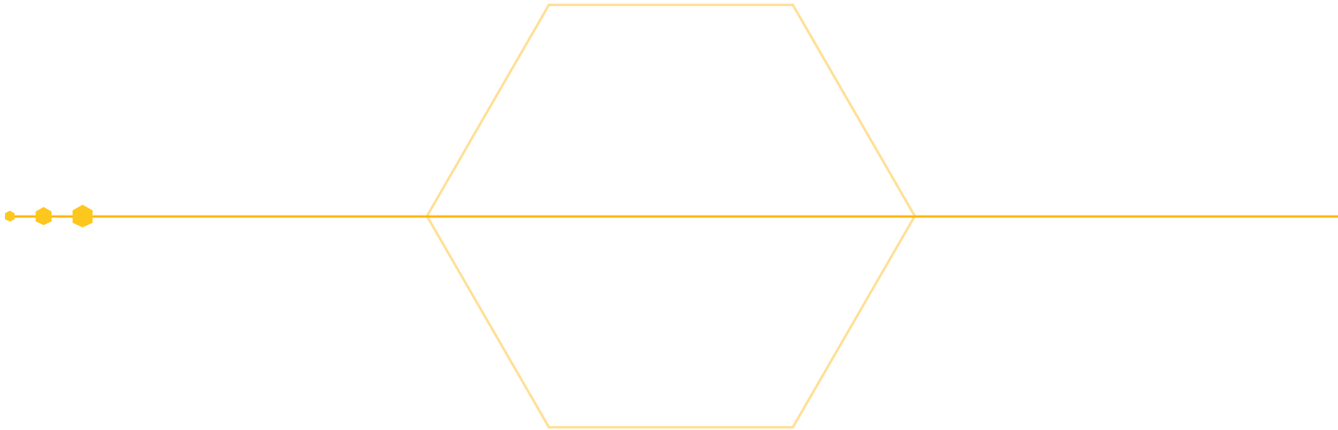


Returning People Home

Better Together: The Power of Everyone

Development Starts on Day One

Caterpillar provides opportunities for aspiring engineers through the Engineering Rotational Development Program, which was



85%
employee participation in the annual employee engagement survey

SHAREHOLDER ENGAGEMENT

BEYOND CATERPILLAR: INVESTING IN COMMUNITIES

[redacted]

ESG DATA & ENDNOTES

ESG DATA & ENDNOTES

ESG DATA & ENDNOTES

	2018 BASELINE (2030 GOALS)	2019	2020	2021	2022	2023	PERSPECTIVE
WATER-OPERATIONS							
Water management strategies[8]							
% c c m m m							

ESG DATA & ENDNOTES

ESG DATA & ENDNOTES

1. Women In Leadership data reflects all women with responsibilities for managing employees as part of their job scope. Data excludes Progress Rail.
2.

Independent Limited Assurance Statement to Caterpillar Inc.

ERM Certification and Verification Services, Inc. (ERM CVS) was engaged by Caterpillar Inc. to provide limited assurance in relation to the selected information set out below and presented in the 2023 Sustainability Report and Task Force on Climate-related Financial Disclosures (TCFD) Report (the "Reports").

SASB INDEX

GRI INDEX

GRI INDEX

GRI INDEX

GRI INDEX

GENERAL DISCLOSURES		
DISCLOSURE NUMBER	DISCLOSURE	LOCATION/RESPONSE
GRI 2: GENERAL DISCLOSURES 2021		
STRATEGY, POLICIES AND PRACTICES		
2-22		

GRI INDEX

GRI INDEX

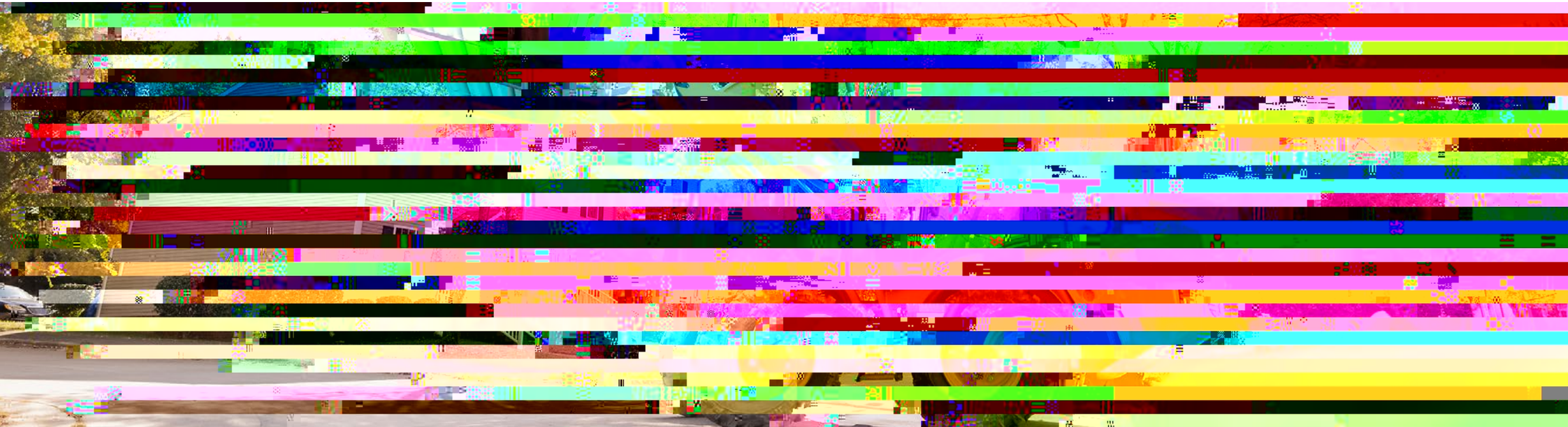
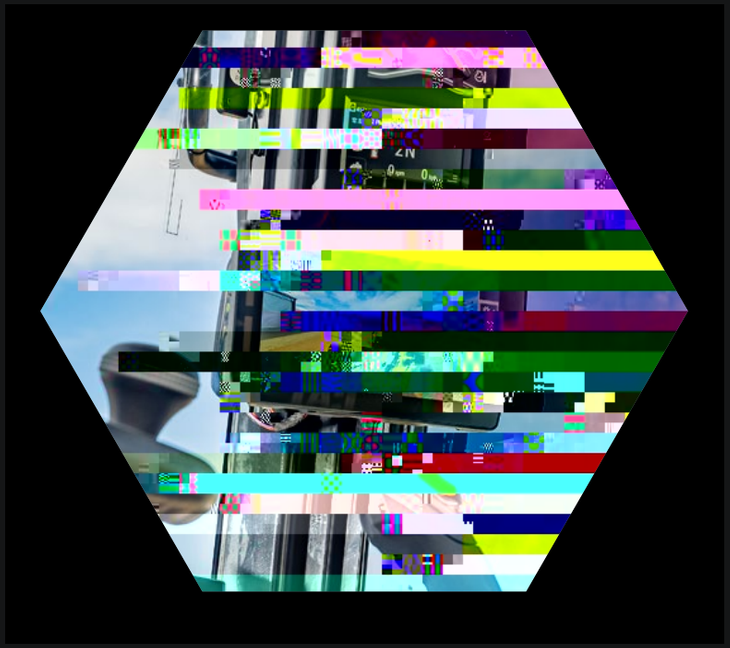
GENERAL DISCLOSURES		
DISCLOSURE NUMBER	DISCLOSURE	LOCATION/RESPONSE
GRI 300: ENVIRONMENTAL		
GRI 303: WATER AND EFFLUENTS		
3-3	[illegible]	[illegible]

GRI INDEX

GRI INDEX

GENERAL DISCLOSURES		
DISCLOSURE NUMBER	DISCLOSURE	LOCATION/RESPONSE
GRI 400: SOCIAL		

GRI INDEX

GENERAL DISCLOSURES		

FORWARD-LOOKING STATEMENTS