

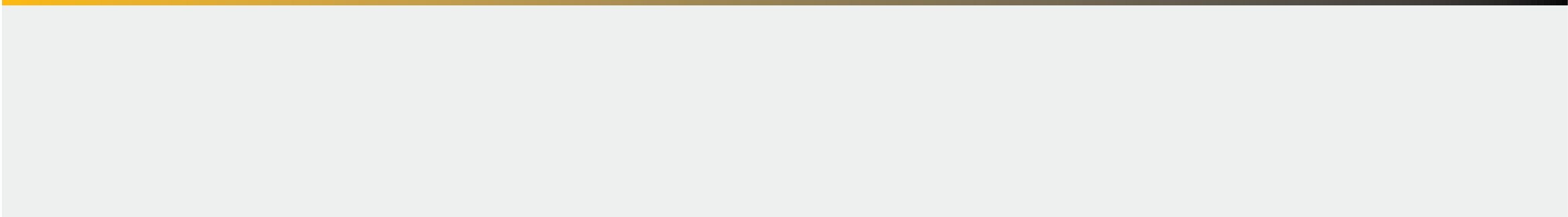
E

SUSTAINABILITY DISCLOSURE MILESTONES

Caterpillar committed to its shareholders to provide three new disclosures in 2023. In addition to these commitments, in response to shareholder engagement, we have also provided additional disclosures in 2023. The disclosures are as follows:

2030 GOALS & PROGRESS CONTINUED

Product Emissions & Energy Efficiency



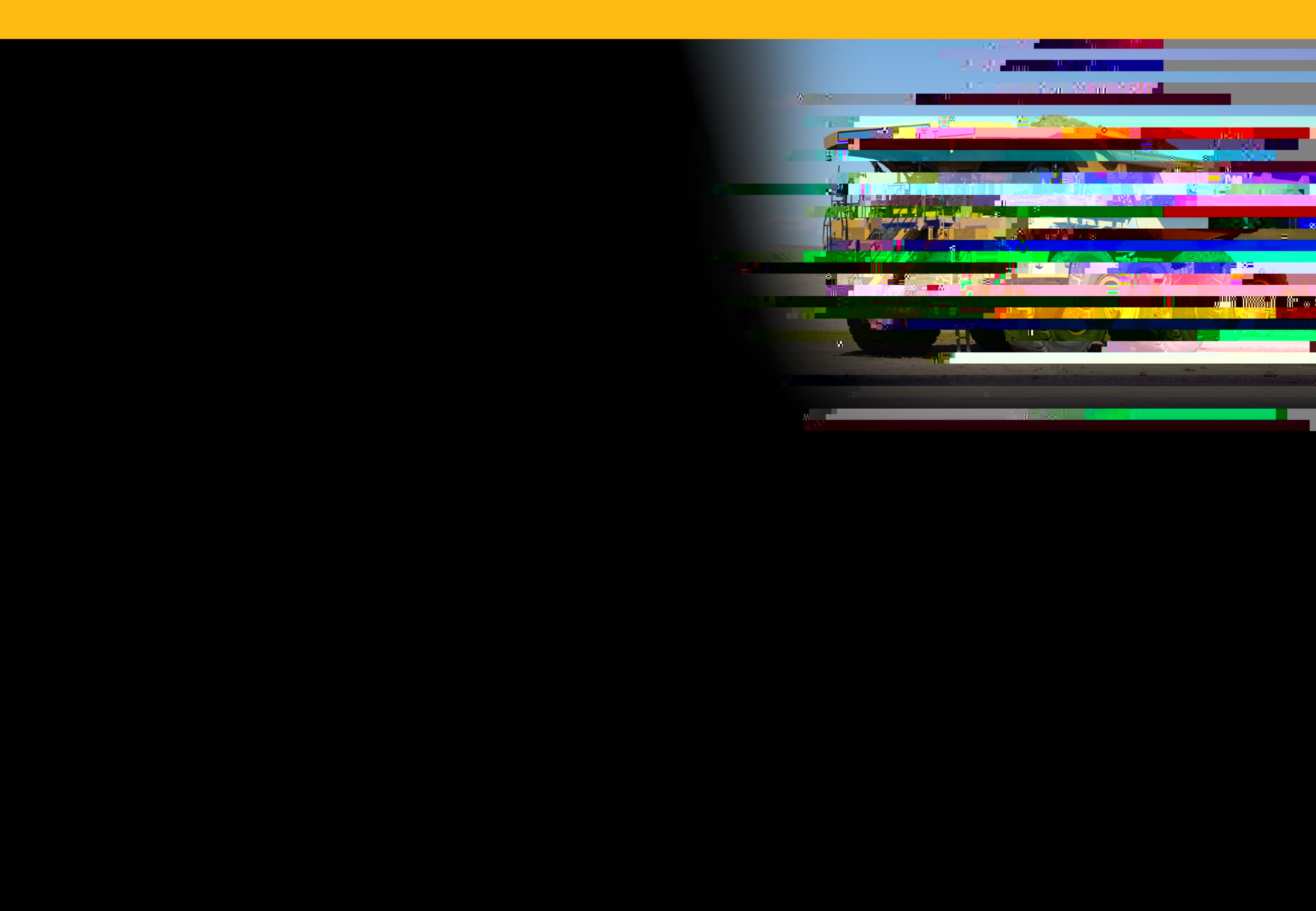


HELPING OUR CUSTOMERS BUILD A BETTER, MORE SUSTAINABLE WORLD

Now more than ever, our customers rely on us to

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SUPPORTING A CIRCULAR ECONOMY

Caterpillar products are built to be rebuilt. They



CAT

UNDERSTANDING OUR SCOPE 3 EMISSIONS



REDUCING CARBON IN OUR OPERATIONS

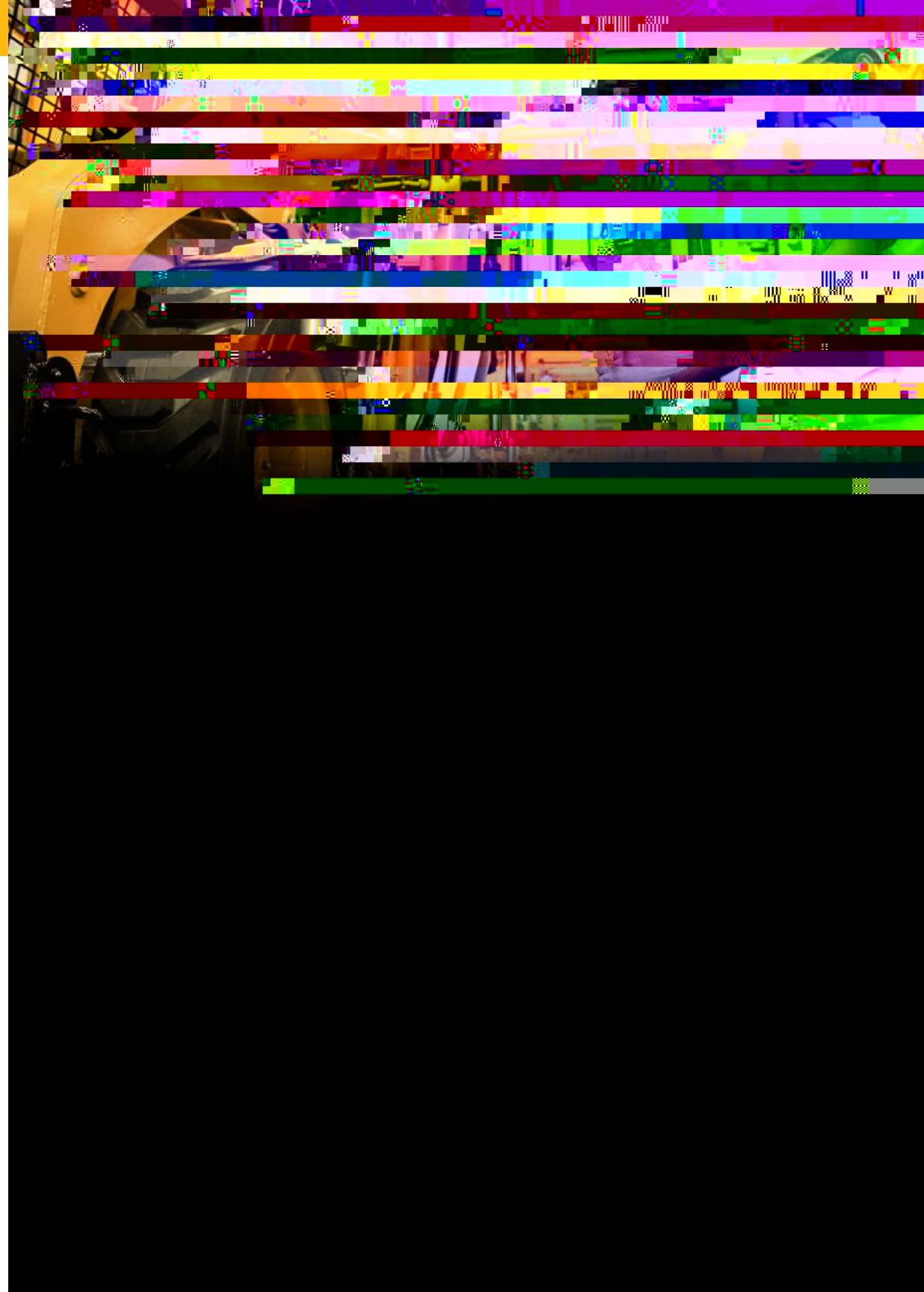
We work to reduce GHG emissions in our operations while also minimizing water use and waste generation. Environmentally efficient operations align with our strategy

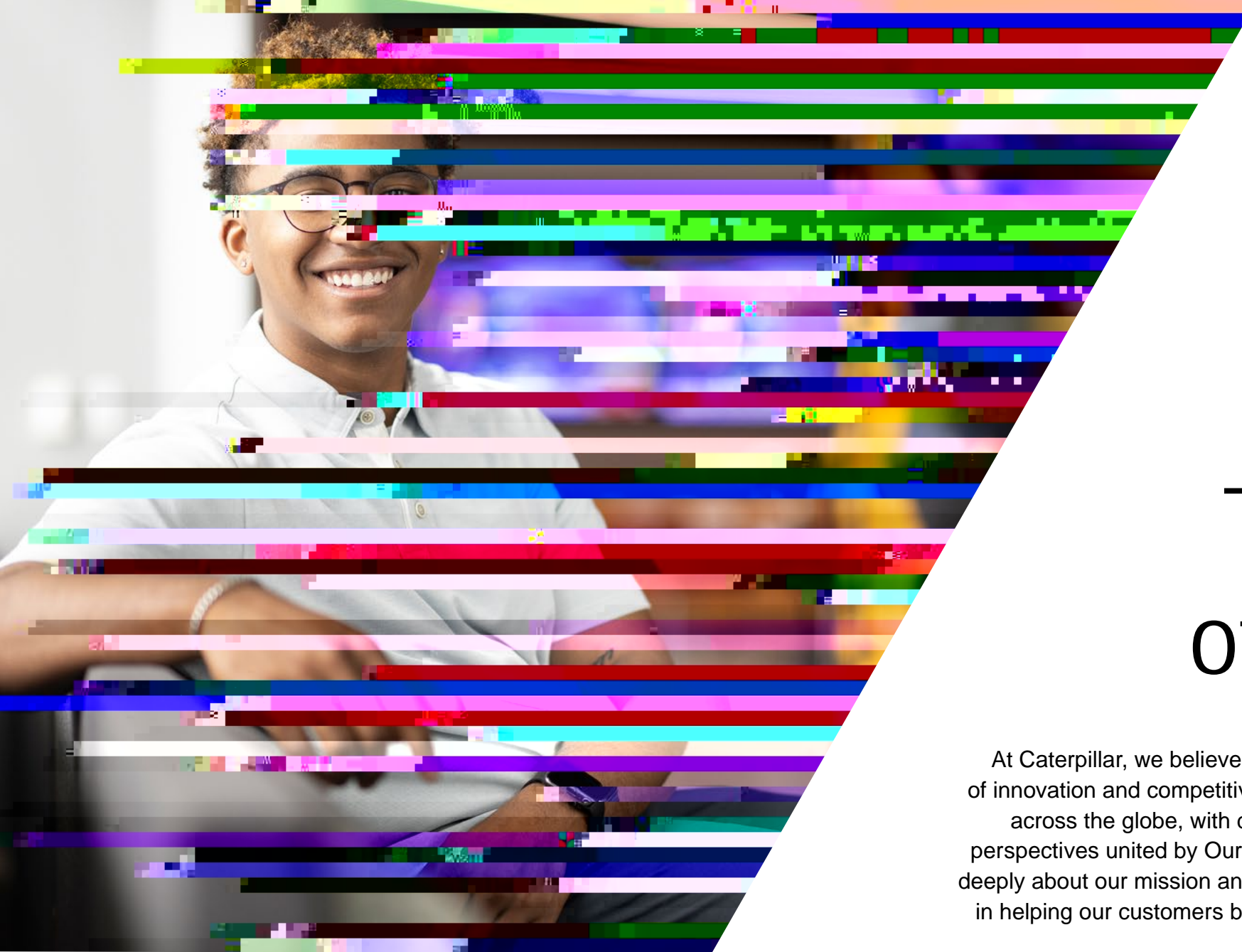
Returning People Home Safely

The safety and well-being of those who work in our facilities
and those who work in, on and around our Caterpillar



EMPLOYEE SAFETY





The Power of Everyone

At Caterpillar, we believe in The Power of Everyone as a source of innovation and competitive advantage. Our people come from across the globe, with diverse backgrounds, experiences and perspectives united by Our Values in Action. Our employees care deeply about our mission and purpose and play an important role in helping our customers build a better, more sustainable world.



DEVELOPING OUR PEOPLE & THEIR POTENTIAL

Starting today, we encourage employees to focus on continuous learning so they can develop their skills with their career. We offer

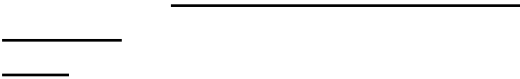
HEALTH & WELL-BEING





SHAREHOLDER ENGAGEMENT

Caterpillar values the diverse perspectives and input of our shareholders. Through regular, ongoing shareholder engagement, we hear their interest in how Caterpillar is



Caterpillar has been a technology leader for

Our Path Ahead

ETHICS & INTEGRITY

We operate according to Our Values in



RISK MANAGEMENT

Risk is an inherent part of conducting global business, and managing risk is fundamental

RESPONSIBLE SOURCING

Caterpillar is committed to responsibly
sourcing the raw materials used in its products.

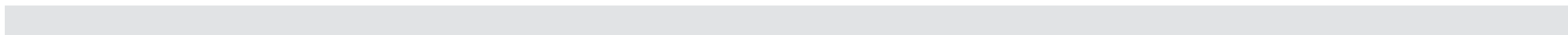




ABOUT THIS REPORT



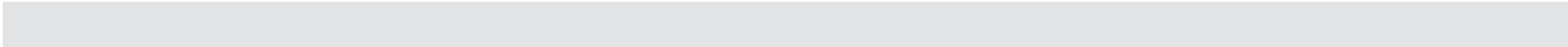
ESG DATA & ENDNOTES



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Independent Limited Assurance Statement to Caterpillar Inc.

ERM Certification and Verification Services, Inc. (ERM CVS) was engaged by Caterpillar Inc. to provide assurance over the 2020.2021 (2020-2021) period.





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This image shows a blank sheet of white paper with horizontal blue ruling lines. At the very top and bottom of the page are thick, solid black horizontal bars. The rest of the page is white with evenly spaced horizontal lines. There is no handwriting or printed text on the page.





GRI INDEX













DISCLOSURE NUMBER	DISCLOSURE
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DISCLOSURE NUMBER

DISCLOSURE



FORWARD-LOOKING STATEMENTS

E